

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
04536.027001

In Re Application Of: **Tadahiro NAITOH et al.**

Serial No.

Filing Date  
[Herewith]

Examiner

Group Art Unit

Title: **DATA STORAGE AND REPRODUCTION APPARATUS STORING AND REPRODUCING  
MULTIMEDIA DATA**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**22511**

PATENT TRADEMARK OFFICE

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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**DATA STORAGE AND REPRODUCTION APPARATUS STORING AND REPRODUCING  
MULTIMEDIA DATA**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
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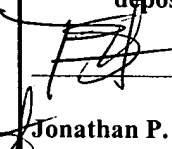
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CC:

|   |   |             |
|---|---|-------------|
| INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary) | ATTY. DOCKET NO.:<br><b>04536.027001</b>    | SERIAL NO.: |
|   | APPLICANT:<br><b>Tadahiro NAITOH et al.</b> |             |
|   | FILING DATE:<br><b>[Herewith]</b>           | ART UNIT:   |

| U.S. PATENT DOCUMENTS |     |              |      |                                |
|-----------------------|-----|--------------|------|--------------------------------|
| EXAMINER INITIALS     | REF | DOCUMENT NO. | DATE | APPLICANT'S OR PATENTEE'S NAME |
|                       |     |              |      |                                |

| FOREIGN PATENT DOCUMENTS |     |              |            |         |             |    |
|--------------------------|-----|--------------|------------|---------|-------------|----|
| EXAMINER INITIALS        | REF | DOCUMENT NO. | DATE       | COUNTRY | TRANSLATION |    |
|                          |     |              |            |         | YES         | NO |
|                          | A1  | 5-236414     | 09/10/1993 | Japan   |             | X  |
|                          | A2  | 2002-152636  | 05/24/2002 | Japan   |             | X  |
|                          | A3  | 2002-84498   | 03/22/2002 | Japan   |             | X  |
|                          | A4  | 2002-032975  | 01/31/2002 | Japan   |             | X  |

| OTHER DOCUMENTS   |     |  |
|-------------------|-----|--|
| EXAMINER INITIALS | REF | (Include Author, Title, Date, Pertinent Pages, etc.)                                 |
|                   | A5  | Patent Abstracts of Japan, Publication No. 05-236414 dated September 10, 1993, 1 pg. |
|                   | A6  | Patent Abstracts of Japan, Publication No. 2002-152636 dated May 24, 2002, 1 pg.     |
|                   | A7  | Patent Abstracts of Japan, Publication No. 2002-084498 dated March 22, 2002, 1 pg.   |
|                   | A8  | Patent Abstracts of Japan, Publication No. 2002-032975 dated January 31, 2002, 1 pg. |

|  |                  |
|--|------------------|
| EXAMINER:  | DATE CONSIDERED: |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |

# **LIST OF DOCUMENTS CITED BY APPLICANT**

| U.S. PATENT DOCUMENTS    |         |                                    |                  |    |                         |    |
|--------------------------|---------|------------------------------------|------------------|----|-------------------------|----|
| A1                       |         |                                    |                  |    |                         |    |
| A2                       |         |                                    |                  |    |                         |    |
| A3                       |         |                                    |                  |    |                         |    |
| A4                       |         |                                    |                  |    |                         |    |
| A5                       |         |                                    |                  |    |                         |    |
| FOREIGN PATENT DOCUMENTS |         |                                    |                  |    |                         |    |
|                          | COUNTRY | No.                                | ENGLISH ABSTRACT |    | COMMENTS OR TRANSLATION |    |
|                          |         |                                    | YES              | NO | YES                     | NO |
| B1                       | Japan   | Patent Laying-Open No. 05-236414   | X                |    |                         | X  |
| B2                       | Japan   | Patent Laying-Open No. 2002-152636 | X                |    |                         | X  |
| B3                       | Japan   | Patent Laying-Open No. 2002-084498 | X                |    |                         | X  |
| B4                       | Japan   | Patent Laying-Open No. 2002-032975 | X                |    |                         | X  |
| B5                       |         |                                    |                  |    |                         |    |
| B6                       |         |                                    |                  |    |                         |    |
| B7                       |         |                                    |                  |    |                         |    |
| B8                       |         |                                    |                  |    |                         |    |
| B9                       |         |                                    |                  |    |                         |    |
| B10                      |         |                                    |                  |    |                         |    |
| OTHER PRIOR ART          |         |                                    |                  |    |                         |    |
| C1                       |         |                                    |                  |    |                         |    |
| C2                       |         |                                    |                  |    |                         |    |
| C3                       |         |                                    |                  |    |                         |    |
| C4                       |         |                                    |                  |    |                         |    |
| C5                       |         |                                    |                  |    |                         |    |

**REMARKS:** Discussion has been made in the specification concerning the above-described document(s) identified as

**B1, B2, B3, and B4**